

# Improvement of interfacial properties with interfacial layer in La<sub>2</sub>O<sub>3</sub>/Ge Structure

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## Abstract

The electrical characteristics and interfacial properties of La<sub>2</sub>O<sub>3</sub>/Ge structures under various post-deposition annealing (PDA) conditions are studied. We found that the interfacial Ge oxide layer reduced the D<sub>it</sub>, while redundant growth of the oxide led to increment of CET. In order to satisfy small CET and low D<sub>it</sub>, appropriate interfacial layer (IL) thickness is assumed to be 1.0-1.5 nm. On the other hand, Ge sub-oxide in the IL caused to increase hysteresis. Instead, by introducing the Ge chemical oxide, an interfacial La-germanate layer formed with PDA at 500 °C in N<sub>2</sub>, which could reduced both the hysteresis and D<sub>it</sub>.

*Keywords:* high-k; lanthanum oxide; La<sub>2</sub>O<sub>3</sub>; germanium; interface trap density; germanate, PDA

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## 1. Introduction

As the scaling of silicon metal-oxide-semiconductor field-effect transistor (MOSFET) reaches its fundamental limits, germanium has drawn high attention for high performance applications thanks to its high mobility. However, due to the thermally unstable and water soluble properties of Ge oxide, it seems difficult to obtain better device

characteristics in GeO<sub>2</sub>/Ge structure compared to SiO<sub>2</sub>/Si. On the other hand, in order to achieve smaller equivalent oxide thickness (EOT) without increasing the leakage current, high dielectric constant (high-k) materials are required for gate insulator of Si MOSFET. With recent development of high-k dielectric film formation, high-k materials such as ZrO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> and HfO<sub>x</sub>N<sub>y</sub> have been also studied for gate insulator of Ge MOSFETs [1-3]. In many high-k materials, La<sub>2</sub>O<sub>3</sub> which possesses high dielectric constant (~27) and large band-gap [4] is more attractive than other high-k materials for gate insulator, and it has been extensively studied on Si

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substrates [4-7]. In case of  $\text{La}_2\text{O}_3/\text{Si}$  structure, trapped charges at the  $\text{La}_2\text{O}_3/\text{Si}$  interface degrade effective mobility [7]. In this work, we report the improvement of interfacial properties with interfacial layer in  $\text{La}_2\text{O}_3/\text{Ge}$  structures.

## 2. Experiments

Ge-MOS structures were fabricated on n-type Ge (100) wafers with resistivity of 1.9~2.4  $\Omega\text{-cm}$ . Ge native oxide was removed by dipping in an  $\text{HCl}/\text{H}_2\text{O}$  (1/4) solution followed by rinsing in de-ionized water. After that a protective Ge Oxide layer was formed by  $\text{NH}_4\text{OH}/\text{H}_2\text{O}_2/\text{H}_2\text{O}$  (1/2/20) treatment [8]. The protective Ge oxide was removed by annealing at 600°C in ultra-high vacuum (UHV) chamber.  $\text{La}_2\text{O}_3$  films were deposited by electron-beam (E-beam) evaporation under pressure of  $\sim 1 \times 10^{-6}$  Pa at substrate temperature of 250 °C in the same UHV chamber. After the deposition, post-deposition annealing (PDA) was carried out under various annealing conditions. Pt was used as a gate electrode. Interface trap density ( $D_{it}$ ) was evaluated by conductance method [9]. The physical thicknesses were optically measured by spectroscopic ellipsometry (SE) using a Cauchy model and a single layer approximation with variable refractive index. High resolution transmission electron microscopy (HR-TEM) and x-ray photoelectron spectroscopy (XPS) were performed for structural and chemical analyses.

## 3. Results and Discussion

### 3.1 PDA in oxygen ambient

Figure 1 shows (a) 100kHz C-V characteristic and (b)  $D_{it}$  for Pt/ $\text{La}_2\text{O}_3/\text{Ge}$  MOS structure with PDA in  $\text{N}_2$ , 3%- $\text{H}_2+\text{N}_2$  (FG) or 5%- $\text{O}_2+\text{N}_2$  ambient at 425 °C for 30 minutes. A bulky hump was observed on the C-V curves for the samples with the  $\text{N}_2$  and FG PDA, while little hump appeared for the 5%- $\text{O}_2+\text{N}_2$  PDA. The bulky hump of the  $\text{N}_2$  and FG PDA samples could be observed due to the large amounts of interface trap density as shown in Fig. 1-(b). Figure 2 shows Ge3d spectra obtained by XPS, in which peak deconvolution was carried out using four Ge sub-oxide peaks [10]. Ge oxide components ( $\text{GeO}_x$ ), especially  $\text{Ge}^{3+}$ , increased with the 5%- $\text{O}_2+\text{N}_2$  PDA. The  $\text{GeO}_x$  is determined to be located at the interface between  $\text{La}_2\text{O}_3$  and Ge substrate

considering the results obtained by angle-resolved analysis (inset of Fig. 2). It is assumed that the grown  $\text{GeO}_x$  layer decrease the amount of the hump on the C-V curves and interface trap density as shown below.

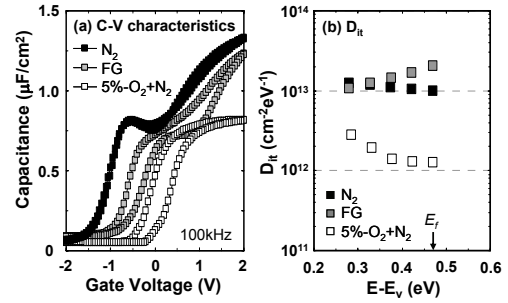


Fig.1. (a)100kHz C-V characteristics and (b) $D_{it}$  of Pt/ $\text{La}_2\text{O}_3/\text{Ge}$  structures with PDA in  $\text{N}_2$ , FG or 5%- $\text{O}_2+\text{N}_2$  ambient at 425 °C for 30 minutes.  $D_{it}$  was evaluated by conductance method [9].

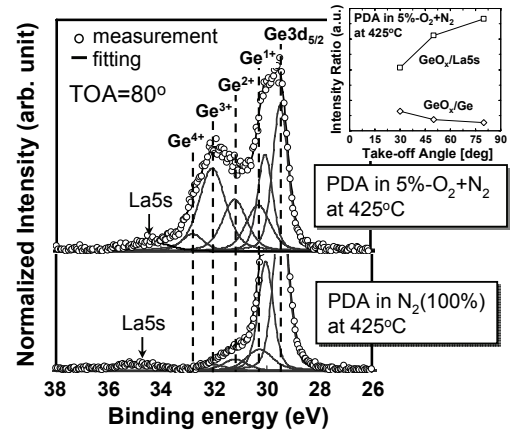


Fig.2. Deconvoluted XPS spectra of Ge3d for the samples with PDA in 5%- $\text{O}_2+\text{N}_2$  and  $\text{N}_2$  at 425 °C for 5 minutes. The intensity ratio of  $\text{GeO}_x/\text{La5s}$  and  $\text{GeO}_x/\text{Ge}$  against take-off angle (TOA) is shown in the inset.

In order to control the thickness of the interfacial layer (IL), PDA was carried out in 5%- $\text{O}_2+\text{N}_2$  at various temperatures and durations. Fig. 3 shows  $D_{it}$  and physical thickness for samples with PDA in 5%- $\text{O}_2+\text{N}_2$  at 400-500 °C for 5 minutes. Higher annealing temperature induced the physical thickness increment due to the IL formation, while improvement in  $D_{it}$  was observed. The growth of the IL, which was confirmed by HR-TEM (in Fig. 4), seems effectively

to suppress the  $D_{it}$ . Changing the duration of annealing at 425 °C in 5%-O<sub>2</sub>+N<sub>2</sub> could also change the IL thickness. Figure 4 shows  $D_{it}$  and CET change as a function of the IL thickness estimated by SE and HR-TEM. The samples were either annealed at various temperatures for 5 minutes or various durations at 425 °C. The dielectric constant of the IL calculated from the plot of total CET versus IL thickness was 5.8, while the dielectric constant of La<sub>2</sub>O<sub>3</sub> calculated from the plot of CET versus La<sub>2</sub>O<sub>3</sub> thickness [11] (not shown) was about 18-19. In order to obtain both small CET and  $D_{it}$ , appropriate IL thickness is estimated to be 1.0-1.5 nm. The leakage current density with this IL was  $\sim 1 \times 10^{-4}$  A/cm<sup>2</sup> at 1 V.

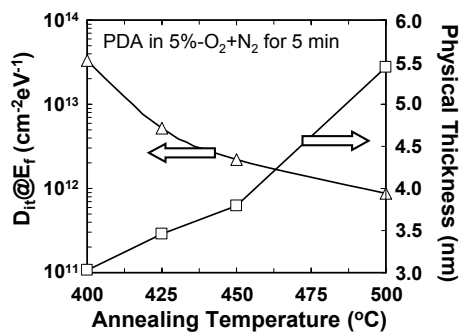


Fig.3. Interface trap density ( $D_{it}$ ) and physical thickness change against annealing temperature.

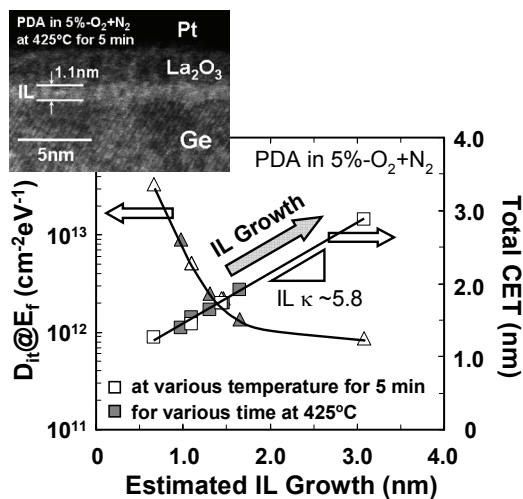


Fig.4.  $D_{it}$  and total CET change against IL thickness. The IL thickness was estimated from HR-TEM for the sample with PDA in 5%-O<sub>2</sub>+N<sub>2</sub> at 425 °C for 5 min and SE results as shown in Fig. 3.

### 3.2 Introduction of chemical oxide IL

The large hysteresis was observed for the sample with PDA in 5%-O<sub>2</sub>+N<sub>2</sub> as shown in Fig. 1. This hysteresis could be generated by the growth of Ge sub-oxide as shown in Fig. 2 [12]. In order to examine the effect of interfacial Ge oxide layer with little amount of sub-oxide, a Ge chemical oxide (CO) layer was formed with H<sub>2</sub>O<sub>2</sub> solution before the La<sub>2</sub>O<sub>3</sub> deposition. The thickness of the CO layer measured by SE was 1.3-1.5 nm. A La<sub>2</sub>O<sub>3</sub> layer of 10.2 nm was deposited at room temperature below the sublimation temperature of CO that is considered to be 150 °C in the UHV chamber. Figure 5 shows hysteresis and  $D_{it}$  obtained by various annealing conditions with and without the CO layer. Although little difference was observed at as-deposited state, samples with the CO layer showed significant improvement after PDA. The smallest hysteresis with low  $D_{it}$  was achieved by PDA in N<sub>2</sub> at 500 °C with the CO layer.

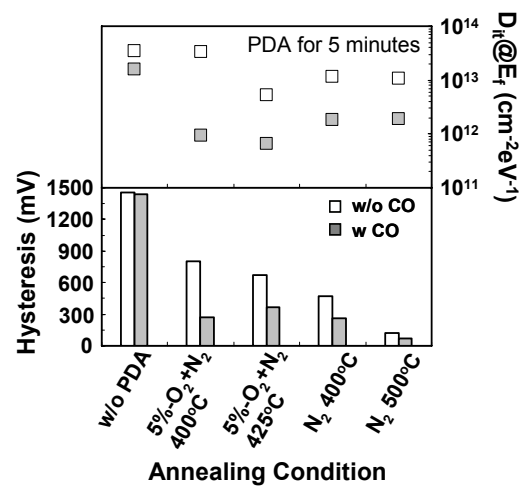
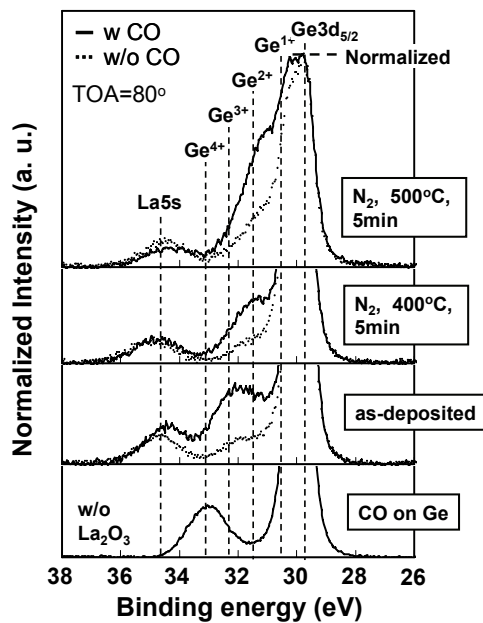


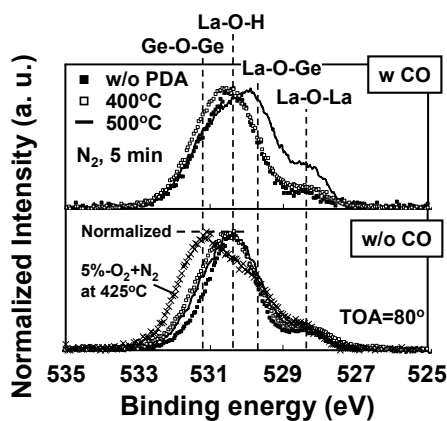
Fig.5. Hysteresis and  $D_{it}$  on various annealing condition with and without CO layer. Hysteresis and  $D_{it}$  significantly reduced with CO layer.

Figure 6 shows XPS spectra of (a) Ge3d and (b) O1s in samples with and without the CO layer. As shown in Fig. 6-(a), the chemically formed GeO<sub>2</sub> showed single peak of Ge<sup>4+</sup>, however, various sub-oxide signals were detected after La<sub>2</sub>O<sub>3</sub> deposition in samples with the CO layer. Furthermore, PDA treatment eliminated the GeO<sub>2</sub> component

completely so as to form sub-oxide. On the O1s spectra, an extra peak with binding energy of 529.6 eV was observed after the 500 °C PDA with the CO layer, suggesting the formation of La-germanate. Therefore, the formation of La-germanate, which appeared as sub-oxide in Ge spectra, can effectively improve both the  $D_{it}$  and hysteresis.



(a) Ge3d spectra



(b) O1s spectra

Fig.6. XPS spectra of (a) Ge3d and (b) O1s for samples with and without CO layer. PDA was conducted in  $N_2$  at 400-500 °C for 5 minutes.

#### 4. Conclusion

We have studied the electrical characteristics and interfacial properties of the  $La_2O_3/Ge$  structures under various annealing conditions. The interfacial layer grown by PDA in oxidation ambient could reduce the  $D_{it}$ , however, simultaneously grown Ge sub-oxide became the origin of hysteresis. By using the interfacial chemical oxide layer with the proper annealing, both hysteresis and  $D_{it}$  reduced. This would be attributed to the formation of La-germanate at the interface of  $La_2O_3$  and Ge substrate.

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